



<b>Form PTO-1449 Modified</b>  List of Patent and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office	Docket No. <b>ELSE-0819</b>	Application No. <b>10/617,844</b>
	Applicant <b>Rodney C. Hemminger, et al.</b>	
	Filing Date <b>July 11, 2003</b>	Group <b>Not Yet Assigned 2829</b>

**U. S. PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Name	Class	Subclass
	1	2,724,821	11/22/55	E.Q. Schweitzer, Jr.		
	2	3,794,917	2/26/1974	Martin et al.		
	3	3,806,875	4/23/1974	Georget		
	4	3,826,985	7/30/1974	Wiley		
	5	3,976,941	8/24/1976	Milkovic		
	6	4,007,061	2/8/1977	Le Coturier		
	7	4,034,292	7/5/1977	McClelland, III		
	8	4,077,061	2/28/1978	Johnston et al.		
	9	4,092,592	5/30/1978	Milkovic		
	10	4,096,436	6/20/1978	Cook et al.		

**FOREIGN PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
<i>RL</i>	11	0 288 413 A1	10/1988	EPO	x	—
<i>RL</i>	12	0 462 045 A1	12/1991	EPO	x	—
<i>RL</i>	13	1-239473	9/1989	JP	Abstract	—
<i>RL</i>	14	1-239474	9/1989	JP	Abstract	—
<i>RL</i>	15	1-239475	9/1989	JP	Abstract	—

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	16	4,119,948	10/10/1978	Ward et al.		
	17	4,120,031	10/10/1978	Kinchelee et al.		
	18	4,131,844	12/26/1978	Hucker et al.		
	19	4,156,273	5/22/1979	Sato		
	20	4,156,931	5/29/1979	Adelman et al.		
	21	4,156,932	5/29/1979	Robinson et al.		
	22	4,158,810	6/19/1979	Leskovar		
	23	4,186,339	1/29/1980	Finger		
	24	4,209,826	6/24/1980	Priegnitz		
	25	4,213,119	7/15/1980	Ward et al.		

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Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	26	2 095 879	1/1982	Great Britain		
Re	27	61-11680	1/1986	JP	Abstract	—
Re	28	61-284670	12/1986	JP	Abstract	—
Re	29	61-38569	2/1986	JP	Abstract	—
Re	30	68113/87	8/1987	Australia	x	—

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Examiner Initial		Document No.	Date	Name	Class	Subclass
	31	4,283,772	8/11/1981	Johnston		
	32	4,291,375	9/22/1981	Wolf		
	33	4,298,839	11/3/1981	Johnston		
	34	4,301,508	11/17/1981	Anderson et al.		
	35	4,315,248	2/9/1982	Ward		
	36	4,335,445	6/15/1982	Nerecessian		
	37	4,355,361	10/19/1982	Riggs et al.		
	38	4,359,684	11/16/1982	Ley		
	39	4,361,877	11/30/1982	Dyer et al.		
	40	4,378,524	3/29/1983	Steinmüller		

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Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	41	EP 0 092 303 B1	10/86	EPO	x	
	42	GB 2177 805 A	1/1987	Great Britain	x	
	43	WO 86/05887	10/1986	WO	x	

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Examiner Initial		Document No.	Date	Name	Class	Subclass
	44	4,389,702	6/21/1983	Clemente et al.		
	45	4,399,510	8/16/1983	Hicks		
	46	4,407,061	10/4/1983	Grodziewicz et al.		
	47	4,415,853	11/15/1983	Fisher		
	48	4,420,721	12/13/1983	Dorey et al.		
	49	4,422,039	12/20/1983	Davis		
	50	4,437,059	3/13/1984	Hauptmann		
	51	4,438,485	3/20/1984	Voigt		
	52	4,439,764	3/27/1984	York et al.		
	53	4,467,434	8/21/1984	Hurley et al.		

**FOREIGN PATENT DOCUMENTS**

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Examiner Initial		Document No.	Date	Name	Class	Subclass
	54	4,489,384	12/18/1984	Hurley et al.		
	55	4,497,017	1/29/1985	Davis		
	56	4,509,128	4/2/1985	Coppola et al.		
	57	4,516,213	5/7/1985	Gidden		
	58	4,542,469	9/17/1985	Brandyberry et al.		
	59	4,566,060	1/21/1986	Hoeksma		
	60	4,581,705	4/8/1986	Gilker et al.		
	61	4,600,881	7/15/1986	LaRocca et al.		
	62	4,607,320	8/19/1986	Matui et al.		
	63	4,621,330	11/4/1986	Weikel		

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**10/617,844**Applicant  
**Rodney C. Hemminger, et al.**Filing Date  
**July 11, 2003**Group  
**Not Yet Assigned-2829****U. S. PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Name	Class	Subclass
	64	4,622,627	11/11/1986	Rodriguez et al.		
	65	4,623,960	11/18/1986	Eng		
	66	4,642,634	2/10/1987	Gerri et al.		
	67	4,646,084	2/24/1987	Burrowes et al.		
	68	4,686,460	8/11/1987	Stevens et al.		
	69	4,692,874	9/8/1987	Mihara		
	70	4,697,180	9/29/1987	Swanson		
	71	4,700,280	10/13/1987	Onda et al.		
	72	4,701,858	10/20/1987	Stokes et al.		
	73	4,713,608	12/15/1987	Catiller et al.		

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Examiner Initial		Document No.	Date	Name	Class	Subclass
	94	4,931,725	6/5/1990	Hutt et al.		
	95	4,949,029	8/14/1990	Cooper et al.		
	96	4,951,052	8/21/1990	Jacob et al.		
	97	4,956,761	9/11/1990	Higashi		
	98	4,975,592	12/4/1990	Hahn et al.		
	99	4,977,368	12/11/1990	Munday et al.		
	100	4,978,911	12/18/1990	Perry et al.		
	101	4,998,061	3/5/1991	Voisine et al.		
	102	4,999,569	3/12/1991	Weikel		
	103	5,010,335	4/23/1991	Coppola et al.		

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Examiner Initial		Document No.	Date	Name	Class	Subclass
	104	5,014,213	5/7/1991	Edwards et al.		
	105	5,017,860	5/21/1991	Germer et al.		
	106	5,019,955	5/28/1991	Hoeksma		
	107	5,032,785	7/16/1991	Mathis et al.		
	108	5,059,896	10/22/1991	Germer et al.		
	109	5,122,735	6/16/1992	Porter et al.		
	110	5,140,511	8/18/1992	Lee et al.		
	111	5,151,866	9/29/1992	Glaser et al.		
	112	5,153,837	10/6/1992	Shaffer et al.		
	113	5,173,657	12/22/1992	Holdsclaw		

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Examiner Initial		Document No.	Date	Name	Class	Subclass
	114	5,175,675	12/29/1992	Uramoto		
	115	5,181,026	1/19/1993	Granville		
	116	5,184,064	2/2/1993	Vioknair et al.		
	117	5,229,713	7/20/1993	Bullock et al.		
	118	5,245,275	9/14/1993	Germer et al.		
	119	5,268,633	12/7/1993	Baleh		
	120	5,270,958	12/14/1993	Dastous		
	121	5,287,287	2/15/1994	Chamberlain et al.		
	122	5,296,803	3/22/1994	Kirby et al.		
	123	5,315,527	5/24/1994	Beckwith		

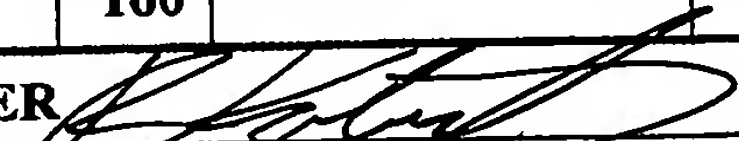
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<b>U. S. PATENT DOCUMENTS</b>						
Examiner Initial		Document No.	Date	Name	Class	Subclass
	124	5,325,051	6/28/1994	Gerner et al.		
	125	5,391,983	2/21/1995	Lisignan et al.		
	126	5,457,621	10/10/1995	Munday et al.		
	127	5,495,167	2/27/96	Cotroneo		
	128	5,537,029	7/16/1996	Hemminger et al.		
	129	5,539,304	7/23/96	Payne et al.		
	130	5,544,089	8/6/1996	Hemminger et al.		
	131	5,548,209	8/20/1996	Lusignan et al.		
	132	5,548,527	8/20/1996	Hemminger et al.		
	133	5,555,508	9/10/1996	Munday et al.		
	134	5,903,145	5/11/1999	Hemminger et al.		
	135	6,229,295 B1	5/8/2001	Hemminger et al.		
	136	5,621,629	04/97	Hemminger, et al.	363	56
	137	6,097,182	08/00	Hemminer, et al.	324	142
	138	5,742,151	04/98	Hwang	323	222
	139	5,808,455	09/98	Schwartz, et al.	323	271
	140	3,569,818			363	21.12
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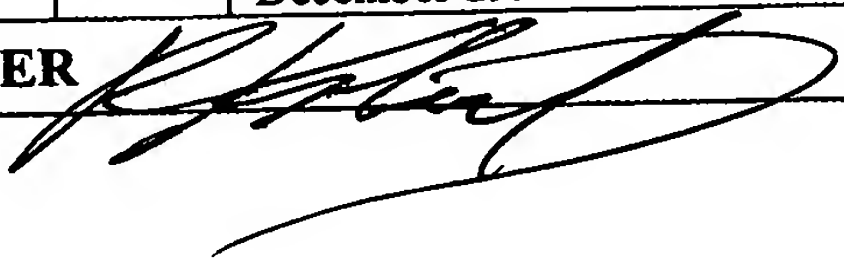
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<b>U. S. PATENT DOCUMENTS</b>						
Examiner Initial		Document No.	Date	Name	Class	Subclass
	141	4,241,237	12/23/80	Paraskevagos, et al.	379	106.06
	142	4,335,447	06/15/82	Jerrim	714	22
	143	4,455,453	06/19/84	Paraskevagos, et al.	379	106.06
	144	4,620,150	10/28/86	Germer, et al.	324	103.12
	145	4,639,728	01/27/87	Swanson	340	870.03
	146	4,682,169	07/21/87	Swanson	379	102.07
	147	4,697,181	09/29/87	Swanson	340	870.02
	148	4,697,182	09/29/87	Swanson	340	870.02
	149	4,804,957	02/14/89	Selph, et al.	340	870.03
	150	4,999,572	03/12/91	Bickford, et al.	324	103R
	151	5,146,394	09/08/92	Ishii, et al.	363	16
	152	5,237,511	08/17/93	Caird, et al.	702	58
	153	5,289,115	02/22/94	Germer, et al.	324	107
	154	5,469,049	11/21/95	Briese, et al.	324	76.77
	155	5,471,137	11/28/95	Briese, et al.	324	158.1
	156	5,537,333	07/16/96	Hemminger, et al.	702	60
	157	5,621,629	04/15/97	Hemminger, et al.	363	56.1
	158	5,631,554	05/20/97	Briese, et al.	324	76.77
	159	5,631,843	05/20/97	Munday, et al.	700	286
	160	5,691,634	11/25/97	Lowe	324	107
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<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>		
	<b>161</b>	<del>"Enter the Electronic Metering Age With GE, The Electronic Polyphase Demand Meter, GE Electronic Metering," <i>GE Meters Brochure</i>, Somersworth, NH, Sept. 1989</del>
	<b>162</b>	<del>G.A. Kingston, et al., "Multi Function Polyphase Metering - An Integrated Approach," Schlumberger Industries Electricity Management, UK</del>
	<b>163</b>	<del>Garverick, Steven L. et al., "A Programmable Mixed-Signal ASIC of Power Metering," ISSCC91/Session 2/Low-Temperature Circuits and Special-Purpose Processors/Paper WP 2.4, IEEE International Solid-State Circuits Conference, 1991</del>
	<b>164</b>	<del>Garverick, Steven L. et al., "A Programmable Mixed-Signal ASIC for Power Metering," IEEE Journal of Solid-State Circuits, Vol. 26, No. 12, December 1991</del>
	<b>165</b>	<del>GOW, J., Electrical Metering Practices, 1973 - <i>Copy of Reference not available</i></del>
	<b>166</b>	<del>H. Kohler, "Elektronischer Hochprazisionzahler im 19-Zoll-Einbaugehause fur Wirkverbrauch-und Blindverbrauchzuflung," Siemens Magazine, pp. 345-349, 1977</del>
	<b>167</b>	<del>Handbook for Electricity Metering, 1981 - <i>Copy of Reference not available</i></del>
	<b>168</b>	<del>HP Eggenberger, "Ein Elektronischer Elektrizitatszahler fur Wir- und Blindverbrauch," Neue Zurcher Zeitung, September 6, 1989</del>
<i>RK</i>	<b>169</b>	<del>In the Matter of Australian Patent Application No. 688711 in the name of ABB Power T&amp;D Company Inc. and In the Matter of Opposition thereto by Electrowatt Technology Innovation Corporation, "Statement of Grounds and Particulars in Support of Opposition, August 12, 1998"</del>
<i>RK</i>	<b>170</b>	<del>In the Matter of Australian Patent Application 688711 in the name of ABB Power T&amp;D Company Inc. and In the Matter of Opposition Thereto by Electrowatt Technology Innovation Corporation, Revised "Statement of Grounds and Particulars in Support of Opposition, February 19, 1999."</del>
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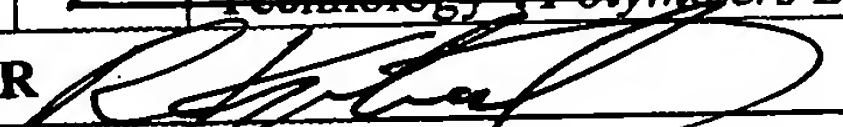


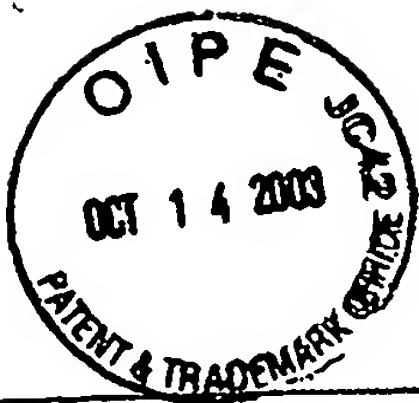
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<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>		
<del>171</del>	<del>Landis &amp; Gyr, "Electronic Meter, Class 0,2: ZFRI, ZMRI," July 1971</del>	
<del>172</del>	<del>Landis &amp; Gyr Bulletin 920 Instruction/Technical Manual, Solid State Meter Class 20, Form 9S, 1<sup>st</sup> printing 3/87</del>	
<del>173</del>	<del>Landis &amp; Gyr, Bulletin 930 SSM2 Instruction/Technical Manual Polyphase Solid State Meter, Landis &amp; Gyr, Lafayette, Indiana, (date unavailable)</del>	
<del>174</del>	<del>Landis &amp; Gyr, ZMA110m402 Solid State Precision Meter IEC Class 1.0</del>	
<del>175</del>	<del>Landis &amp; Gyr, "Z.T. Precision Solid State Meters," October 1988</del>	
<del>176</del>	<del>Laumann, H., et al., "Class 0,5 Precision Meter With Solid State Measuring Elements," Landis &amp; Gyr Review, September 1974</del>	
<del>177</del>	<del>Lester, G., "A Communications Protocol For Reading and Programming Electronic Metering Devices From Hand Held Units," GEC Meters, UK</del>	
<del>178</del>	<del>M. Schwendner, et al., "Elektronischer Haushaltszähler" Elektrontechnische Zeitschrift etc, Vol. 112, No. 6-7, 1991, pp. 320-323, together with an English translations of the same (Electronic Domestic Meter)</del>	
<del>179</del>	<del>McGrath, Donald T, Signal Processing Considerations in Power Management Applications, GE Corporate Research and Development, 1991</del>	
<del>180</del>	<del>MT100 Electronic Time of Use Register Instruction Manual 0505, Schlumberger Industries, December 1991</del>	
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	<b>181</b>	<del>Negahban, M., A DSP Based Watthour Meter, Silicon Systems, Inc., November 23, 1988</del>
	<b>182</b>	<del>Negahban, M. et al. "A DSP Based Watthour Meter" IEEE International Solid State Circuits Conference Digest of Technical Papers 36th ISSC 1st Ed. NY, NY, USA 15-17, Feb. 1989</del>
	<b>183</b>	<del>Nilsen, "EMS 2100 Electricity Consumption Analyzer Australian Design Award 1998," pp. 1-16</del>
<i>Rk</i>	<b>184</b>	P.R. Hutt, et al, "Design, Benefits and Flexibility in Intelligent Metering Technology," Polymeters Limited, UK <i>(Date Unavailable)</i>
	<b>185</b>	<del>Product Brochure: "KVI Polyphase Combination Meter," Dated July 1988</del>
	<b>186</b>	<del>Progress in the Art of Metering Electric Energy," Institute of Electrical &amp; Electronic Engineers, Electricity Metering Subcommittee, 1969</del>
	<b>187</b>	<del>QUAD4® Plus Multifunction Electronic Meter, User's Guide, Document A-117350, Revision L, Process Systems, Charlotte, NC, 1993</del>
	<b>188</b>	<del>Quantum Multi-Function Polyphase Meter Type SQ400, Preliminary Instruction Manual Draft III, March 1983</del>
	<b>189</b>	<del>Quantum Technical Guide, July 1989</del>
	<b>190</b>	<del>Quantum Electronic Meter Field Reference Manual For Q101, Q111, Q121 Q200, Q210, Q220 and Q230 Electronic Meters, Schlumberger Industries, prior to 1991</del>
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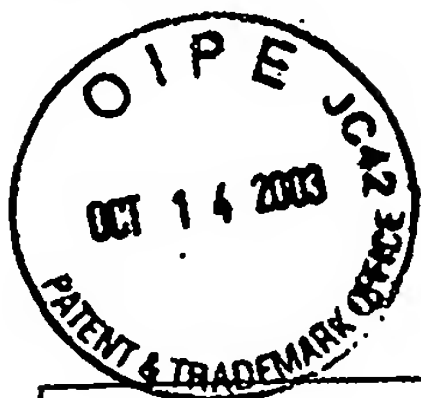


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<i>RK</i>	204	<del>Memorandum Order(Claim Construction) In the United States District Court for the District of Delaware, ABB Automation, Inc., v. Schlumberger Resource Management Services, Inc., Civil Action No. 01-077-SLR, March 27, 2003</del>	
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<i>RK</i>	207	<del>Answer and Affirmative Defense to First Amended Complaint and Counterclaims for Declaratory Judgment of Non-Infringement, Invalidity and/or Unenforceability and for Patent Infringement, In the United States District Court for the District of Delaware, ABB Automation, Inc., v. Schlumberger Resource Management Services, Inc., Case No. 01-77, July 2, 2001</del>	
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<i>RK</i>	214	<del>Schlumberger's First Supplemental Answers to ABB's First Set of Interrogatories (Numbers 1-22), In the United States District Court for the District of Delaware, ABB Automation, Inc., v. Schlumberger Resource Management Services, Inc., Case No.: 01-77, November 29, 2001</del>	
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<i>RK</i>	218	<del>Schlumberger's Third Set of Interrogatories to Plaintiff, In the United States District Court for the District of Delaware, ABB Automation, Inc., v. Schlumberger Resource Management Services, Inc., Case No.: 01-77, February 18, 2002</del>	
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		Confirmation No. <b>7986</b>	
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		Applicant <b>Rodney C. Hemminger, et al.</b>	
		Filing Date <b>July 11, 2003</b>	Group <b>2829</b>
		Confirmation No. <b>7986</b>	
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